



3

EXPRESS MAIL NO. EL 897865392US

DECLARATION FOR UTILITY PATENT APPLICATION

Attorney Docket No. : 210121.465C5
First Named Inventor : Alexander Gaiger

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below under my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

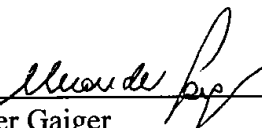
COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/938,864, as filed in the United States Patent and Trademark Office on August 24, 2001 ("this application") for which a patent is sought. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under 35 U.S.C. § 365(c) of any PCT international application(s) designating the United States of America, as listed below ("the parent applications") and insofar as the subject matter of each of the claims of this application is not disclosed in at least one of the below-listed United States or PCT International parent applications in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56, including material information which became available between the filing date of any of the below-listed parent applications and the national or PCT international filing date of this (C-I-P) application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/785,019	02/15/2001		210121.465C4
09/685,830	10/9/2000		210121.465C3
09/684,361	10/6/2000		210121.465C2
09/276,484	03/25/1999		210121.465C1
09/164,223	09/30/1998		210121.465

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


 Alexander Gaiger

Date 10-27-01
 Residence : City of Seattle, County of King
 State of Washington
 Citizenship : Austria
 P.O. Address : 1421 42nd Avenue East
 Seattle, Washington 98112



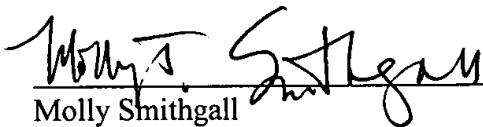
Patricia D. McNeill

Date 10-26-2007

Residence : City of Federal Way, County of King
State of Washington

Citizenship : United States of America

P.O. Address : 1333 South 290th Place
Federal Way, Washington 98003



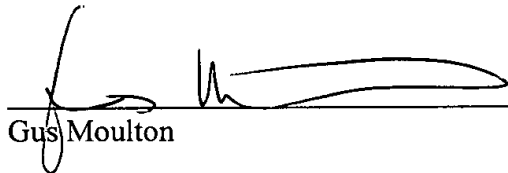
Molly Smithgall

Date 10-30-07

Residence : City of Seattle, County of King
State of Washington

Citizenship : United States of America

P.O. Address : 7217 28th Avenue Northeast
Seattle, Washington 98115



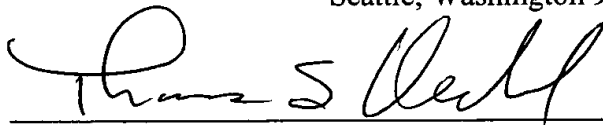
Gus Moulton

Date 10-31-01

Residence : City of Seattle, County of King
State of Washington

Citizenship : United States of America

P.O. Address : 7026 23rd Avenue Northeast
Seattle, Washington 98115




Thomas S. Vedvick

Date Oct 26, 2001

Residence : City of Federal Way, County of King
State of Washington

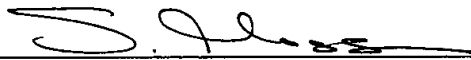
Citizenship : United States of America

P.O. Address : 124 South 300th Place
Federal Way, Washington 98003



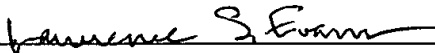
Paul R. Sleath

Date 10/26/01
Residence : City of Seattle, County of King
State of Washington
Citizenship : United Kingdom
P.O. Address : 1623 Eighth Avenue West
Seattle, Washington 98119



Sally Mossman

Date 10-31-01
Residence : City of Seattle, County of King
State of Washington
Citizenship : United Kingdom
P.O. Address : 2815 Northwest 58th Street
Seattle, Washington 98107

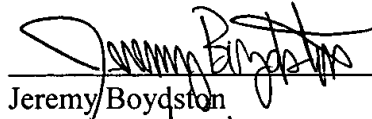


Lawrence Evans

Date 10.31.2001
Residence : City of Seattle, County of King
State of Washington
Citizenship : United States of America
P.O. Address : 6521 36th Avenue Southwest
Seattle, Washington 98126


A. Gregory Spies

Date 10-30-01
Residence : City of Shoreline, County of King
State of Washington
Citizenship : United States of America
P.O. Address : 19018 18th Avenue Northeast
Shoreline, Washington 98155


Jeremy Boydston

Date 10/31/01
Residence : City of Seattle, County of King
State of Washington
Citizenship : United States of America
P.O. Address : 5210 Woodlawn Avenue North
Seattle, Washington 98104

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ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

Name	Post Office Address	
Patricia D. McNeill	1333 South 290 th Place, Federal Way, Washington 98003	;
Molly D. Smithgall	7217 28 th Avenue Northeast, Seattle, Washington 98115	;
Gus Moulton	7026 23 rd Avenue Northeast, Seattle, Washington 98115	;
Thomas S. Vedvick	124 South 300 th Place, Federal Way, Washington 98003	;
Paul R. Sleath	1623 Eighth Avenue West, Seattle, Washington 98119	;
Sally P. Mossman	2815 Northwest 58 th Street, Seattle, WA 98107	;
Lawrence S. Evans	6521 36 th Avenue Southwest, Seattle, WA 98126	;
A. Gregory Spies	19018 18 th Avenue Northeast, Shoreline, WA 98155	; and
Jeremy Boydston	5210 Woodlawn Avenue North, Seattle, WA 98104	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors (with Alexander Gaiger) of an invention entitled

COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/938,864, as filed in the United States Patent and Trademark Office on August 24, 2001 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/785,019	02/15/01		210121.465C4
09/685,830	10/9/2000		210121.465C3
09/684,361	10/6/2000		210121.465C2

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/276,484	03/25/1999		210121.465C1
09/164,223	09/30/1998		210121.465

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

4-30-02
Date
Patricia D. McNeill
Signature of Patricia D. McNeill
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Patricia D. McNeill is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 30, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

4/26/02
Date

Molly D. Smithgall
Signature of Molly D. Smithgall

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Molly D. Smithgall is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 26, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

4-24-02
Date

Gus Moulton
Signature of Gus Moulton

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Gus Moulton is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 24, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

4/12/02
Date

Thomas S. Vedvick
Signature of Thomas S. Vedvick

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Thomas S. Vedvick is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 12, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

4/14/02
Date

Paul R. Sleath
Signature of Paul R. Sleath

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Paul R. Sleath is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 14, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 4/26/02

S. P. Mossman
Signature of Sally P. Mossman

State of WASHINGTON)

County of KING)

ss.

I certify that I know or have satisfactory evidence that Sally P. Mossman is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 26, 2002

Signature of Notary Public Deirdre I. Bryan

Printed Name DEIRDRE I. BRYAN

My appointment expires 9/1/02

Date 4-29-2002

Lawrence S. Evans
Signature of Lawrence S. Evans

State of WASHINGTON)

County of KING)

ss.

I certify that I know or have satisfactory evidence that Lawrence S. Evans is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 29, 2002

Signature of Notary Public Deirdre I. Bryan

Printed Name DEIRDRE I. BRYAN

My appointment expires 9/1/02

4-25-2002
Date
Signature of A. Gregory Spies
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that A. Gregory Spies is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

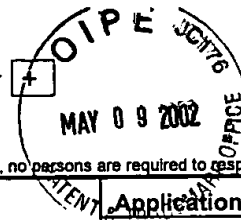
Dated April 25, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

4/12/02
Date
Signature of Jeremy Boydston
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Jeremy Boydston is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 12, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Please type a plus sign (+) inside this box



EXPRESS MAIL NO. EL 897865392US

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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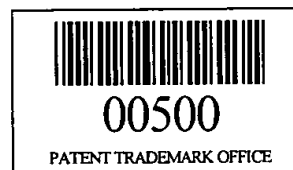
ELECTION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/938,864
	Filing Date	August 24, 2001
	First Named Inventor	Alexander Gaiger
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	210121.465C5

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC

OR

☐ Practitioner(s) named below:



Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor

SIGNATURE of Applicant or Assignee of Record

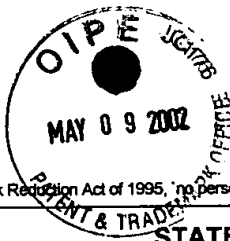
Name	Kathleen Nora McKereghan
Signature	
Date	2 May 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

260272 [01-14-01]



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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Alexander Gaiger et al.Application No./Patent No.: 09/938,864 Filed/Issue Date: August 24, 2001Entitled: COMPOSITIONS AND METHODS FOR WTI SPECIFIC IMMUNOTHERAPYCorixa Corporation

(Name of Assignee)

A

corporation(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2 May 2002
Date

Kathleen Nora McKereghan

Typed or printed name

[Signature]
Signature

**Senior Vice President, General Counsel
and Secretary**

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual
case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S.
Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
Commissioner for Patents, Washington, DC 20231.

Docket No. 210121.465C5

260293 [09-14-01]